

友霖生技醫藥股份有限公司

董事會績效評估辦法

第一條 (訂定目的及依據)

為落實公司治理並提升本公司董事會功能，建立績效目標以加強董事會運作效率，爰依上市上櫃公司治理實務守則第三十七條規定訂定本辦法，以資遵循。

第二條 (應遵守之規範)

本公司董事會之績效評估辦法，其主要評估週期、評估期間、評估範圍及方式、評估之執行單位、評估程序及其他應遵循事項，應依本辦法之規定辦理。

各功能性委員會之規程應列入至少每年執行一次內部委員會績效評估之規範。

第三條 (評估週期及期間)

本公司董事會及功能性委員會每年執行一次內部董事會績效評估，依據第六條及第八條之評估程序及評估指標執行內部董事會及功能性委員會績效評估。

本公司董事會績效評估之執行，宜至少每三年由外部專業獨立機構或外部專家學者團隊執行評估一次。

董事會內部及外部績效評估結果，應於次一年度第一季結束前完成。

第四條 (評估範圍及方式)

本公司董事會評估之範圍，可包括整體董事會、個別董事成員(自我)及功能性委員會之績效評估。

評估之方式包括董事會內部自評、董事成員自評、委任外部專業機構、專家或其他適當方式進行績效評估。

第五條 (評估之執行單位)

本公司以公司總經理室為董事會績效評估之執行單位。

第六條 (評估程序)

本公司內部董事會內部績效評估應由執行單位收集董事會運作相關資訊並分發下列問卷予各董事填寫。執行單位應於回收問卷並統計結果後，提送次一年度三月底前之董事會報告，作為檢討及改進之依據。

- 一、「董事會績效評估自評問卷」：每位董事對整體董事會表現之績效評估。
- 二、「董事成員(自我)績效評估自評問卷」：每位董事對自我表現之績效評估。
- 三、「功能性委員會績效評估自評問卷」：每位委員對整體功能性委員會表現之績效評估。

前項自評問卷應由執行單位依第八條所訂之原則及依據本公司之運作及需求，制訂符合且適於本公司執行績效評估之內容並隨時檢討。

第七條 (外部專業機構、專家)

本公司安排執行董事會績效評估的外部評估機構或外部專家學者團隊，應符合下列規定：

- 一、 外部評估機構或外部專家學者團隊應具備專業性及獨立性。
- 二、 外部評估機構主要為承辦有關董事會相關教育訓練課程、提升企業公司治理等服務的相關機構或管理顧問公司。
- 三、 外部專家學者團隊，應聘任至少 3 位董事會或公司治理領域之專家或者，評估公司董事會績效評估執行情況，並撰寫外部評估分析報告。

第八條 (評估指標及評分標準)

本公司應考量公司狀況與需要訂定董事會績效評估之衡量項目，並至少應含括下列五大面向：

- 一、 對公司營運之參與程度。
- 二、 提升董事會決策品質。
- 三、 董事會組成與結構。
- 四、 董事的選任及持續進修。
- 五、 內部控制。

董事成員(自我)績效評估之衡量項目應至少含括下列六大面向：

- 一、 公司目標與任務之掌握。
- 二、 董事職責認知。
- 三、 對公司營運之參與程度。
- 四、 內部關係經營與溝通。
- 五、 董事之專業及持續進修。
- 六、 內部控制。

功能性委員會績效評估之衡量項目應至少含括下列五大面向：

- 一、 對公司營運之參與程度。
- 二、 功能性委員會職責認知。
- 三、 提升功能性委員會決策品質。
- 四、 功能性委員會組成及成員選任。
- 五、 內部控制。

執行單位依第六條統計自評結果時，應分別統計董事會、董事成員(自我)及功能性委員會之績效自評分數。自評分數係為全部項目之總體平均分數(最高為 5 分)，平均分數對應之自評結果如下：

- 一、 平均分數 5 分者，自評結果為「優良」。
- 二、 平均分數 4 分以上未達 5 分者，自評結果為「良好」。
- 三、 平均分數 3 分以上未達 4 分者，自評結果為「尚可」。
- 四、 平均分數 2 分以上未達 3 分者，自評結果為「待加強」。
- 五、 平均分數未達 2 分者，自評結果為「極需改善」。

評分之標準，依公司需求修正及調整，亦可依各衡量面向採比重加權之方式評分。

第九條 (評估結果運用)

本公司董事會遴選或提名獨立董事時，應將個別董事績效評估結果作為遴選之參考依據。

第十條 (年報資訊揭露)

本公司應於年報中揭露每年董事會績效評估之執行情形，內容至少包含評估週期、評估期間、評估範圍、評估方式及評估內容。

本公司若由外部機構、專家執行董事會績效評估，應於年報中揭露外部評估機構、專家及其團隊成員與專業說明，以及外部評估機構或專家之獨立性聲明，並說明評估方式、標準與未來改善建議。

第十一條 (揭露方式)

本公司所訂定之績效評估辦法均於公開資訊觀測站及公司網站充分揭露，以備查詢。

第十二條 (施行)

本辦法經董事會討論通過後施行，修正時亦同。

本辦法訂定於民國 113 年 MM 月 DD 日。

友霖生技醫藥股份有限公司

民國 000 年度董事會績效評估自評問卷

(董事會成員對整體董事會表現之績效評估)

Orient EuroPharma Co., Ltd. – Questionnaire of Self-Evaluation of Performance of the 2023 Board

評估項目 Evaluation Items	評估結果(請打✓) Level of Self-evaluation (Please “✓” the appropriate space)					備註 Note
	Excellent (5)	Very good (4)	Fair (3)	Poor (2)	Very poor (1)	
一、對公司營運之參與程度 Participation in the company's operation						
1. 您覺得各董事出席董事會的情形如何? What do you think about how each director attends board meetings?						
2. 董事於董事會前有事先閱讀及瞭解會議資料 Directors have read and understood meeting materials before a board meeting.						
3. 董事會與經營團隊有良好的互動情形 The board of directors has a positive interaction with the management team.						
4. 董事會有確實督導公司遵循法令及實務守則情形 The board of directors diligently supervises the company's compliance of laws and codes of practice.						
5. 公司之所有的董事都在董事會上做出有效的貢獻 All directors of the company make validated contributions at the board meetings.						
6. 董事會持續推動訂定公司治理相關辦法、支持公司參與公司評量、充分保障股東權益等，以提升公司治理 The board of directors continues to promote establishment of policies on corporate governance, support the company's participation in the						

評估項目 Evaluation Items	評估結果(請打✓) Level of Self-evaluation (Please “✓” the appropriate space)					備註 Note
	Excellent (5)	Very good (4)	Fair (3)	Poor (2)	Very poor (1)	
corporate evaluation, and make every possible attempt to protect shareholders' right and interest in order to improve corporate governance.						
7. 董事會成員對公司、公司經營團隊及公司所屬產業有足夠之瞭解 Board members have a clear understanding of the company, its management team and the industry in which the company operates.						
8. 董事能確實評估、監督公司存在或潛在之各種風險，且對內控制度之執行與追蹤狀態予以討論 Directors diligently assess and keep track of existing or potential risks to the company. Directors are involved in discussion of implementation and follow-up status of the internal control system.						
9. 董事有與簽證會計師進行充分溝通及交流(如遇有會計新公報實施或財報有重大調整事項時，需開會討論。每年至少兩次董事會邀請會計師列席，針對年報及半年報討論，以充分了解公司財務狀況) Directors engage in healthy communications and exchanges with CPAs. (When new accounting standards are published or there are major adjustments to financial statements, directors should meet to discuss. CPAs shall be present at two or more board meetings in a year to provide opinions on annual and semi-annual financial statements to be fully aware of the company's financial position.)						
10. 董事會能充分且及時的取得企業營運的績效報告，並快速掌握各項不利趨勢 Board of directors has full and timely access to the performance report of business operation and is able to get a big picture of adverse						

評估項目 Evaluation Items	評估結果(請打✓) Level of Self-evaluation (Please “✓” the appropriate space)					備註 Note
	Excellent (5)	Very good (4)	Fair (3)	Poor (2)	Very poor (1)	
developments in a timely fashion.						
二、提升董事會決策品質 Improvement on the quality of the board's decision making						
11. 董事會有建置公司的核心價值觀(紀律、使命、榮譽、願景等理念)，且能明確地設定公司所有策略性目標 Board of directors helps build the company's core value (philosophies such as discipline, mission, honor and vision), and clearly defines all the strategic goals for the company.						
12. 董事會召開頻率適當 The board meetings are convened at an appropriate interval.						
13. 公司提供予董事會的資訊完整、及時，且具一定品質，使董事會(包含獨立董事)能夠順利履行其職責 The board of director is being provided with timely information in the form and of the quality at an adequate level to enable the board (including independent directors) to perform its functions.						
14. 董事會之會議紀錄適當地記錄討論內容，以及適當的記錄個人或集體的保留意見或關切 Minutes of the board meetings properly reflect what has been discussed at the meetings, and any qualified opinion or concerns expressed and raised by individual director or in unanimity.						
15. 董事會安排的議程中，各項議案皆分配適當的討論時間，以利董事有充分時間討論 According to the agenda of a board meeting, all proposals have been allocated with appropriate time for discussion so that						

評估項目 Evaluation Items	評估結果(請打✓) Level of Self-evaluation (Please “✓” the appropriate space)					備註 Note
	Excellent (5)	Very good (4)	Fair (3)	Poor (2)	Very poor (1)	
directors spend sufficient time on discussion.						
16. 董事會提供良好的溝通管道，能適當的與獨立董事溝通 The board of directors has an effective communication channel to enable the board to properly communicate with independent directors.						
17. 各項董事會會議決議，有適當的執行後續追蹤 Resolutions made at board meetings have been properly followed up.						
三、董事會組成與結構 Makeup and structure of the board of directors						
18. 董事會已設置足夠的獨立董事席次，且其人數符合相關規定 The board has sufficient seats for independent directors, and the number of independent directors meets the applicable requirements.						
19. 公司之獨立董事具備應有之專業知識，且於任職期間內確實維持其獨立性 Independent directors of the company have the required expertise and knowledge, and have faithfully maintained their independence during the tenancy.						
20. 現有的各項功能性委員會，有能力履行董事會委任之職責 The existing functional committees are capable of performing the functions authorized by the board of directors.						

評估項目 Evaluation Items	評估結果(請打✓) Level of Self-evaluation (Please “✓” the appropriate space)					備註 Note
	Excellent (5)	Very good (4)	Fair (3)	Poor (2)	Very poor (1)	
21. 董事會成員組成適當並已具備決策過程所需專業 Makeup of the board is appropriate and the board has the required expertise for decision making.						
四、董事之選任及持續進修 Election of directors and continued knowledge development						
22. 董事會成員選任程序，係依據公司董事成員多元化政策衡量標準來進行 The procedure to elect a new director and appointment of a successor is well-thought and transparent.						
23. 董事會成員選任程序，依公司實際需求，充分考量董事成員之各項技能、知識和經歷範疇；獨立董事任期已連續三屆者，應考量是否損及其獨立性 The procedure to elect a director has, based on the company's needs, fully considered the various skills, knowledge and experience of board members. When an independent director has served three consecutive terms, whether his/her independence is compromised should be taken into consideration.						
24. 董事會對於新任董事有適當的就任說明，使新任董事了解其職責及熟悉公司運作及環境 The board provides a proper orientation to a new director to enable him or her to understand his or her duties and to familiarize him or her with the company's operation and environment.						

評估項目 Evaluation Items	評估結果(請打✓) Level of Self-evaluation (Please “✓” the appropriate space)					備註 Note
	Excellent (5)	Very good (4)	Fair (3)	Poor (2)	Very poor (1)	
五、內部控制 Internal control						
25. 董事會確實將對管理階層的風險評估與控制融入企業的決策過程 The board of directors has included risk assessment and control for the management in the company's policy-making process.						
26. 董事會能有效的評估與監督各項內部控制制度及風險管理的有效性 The board of directors effectively evaluates and supervises the effectiveness of various internal control systems and risk control measures.						
27. 董事會通過之內部控制制度有包含五大要素/原則，且涵蓋所有營運活動及交易循環之控制作業 The internal control systems approved by the board of directors includes the five major elements/principles, and covers the control operation for all operational activities and transaction cycles.						
28. 公司之稽核主管/總稽核列席董事會並提出內部稽核業務報告，且將稽核報告（含追蹤報告）依規定交付或通知各監察人（或審計委員會）及獨立董事 The company's audit officer/chief audit officer is present at the board meeting and submits an internal audit operation report, and submits the audit report, including follow-up report, to supervisors, or audit committee, and independent directors or have them notified of the report in accordance with regulations.						

評估項目 Evaluation Items	評估結果(請打✓) Level of Self-evaluation (Please “✓” the appropriate space)					備註 Note
	Excellent (5)	Very good (4)	Fair (3)	Poor (2)	Very poor (1)	
29. 會計師有提供非審計服務時，各項安排適當以確保會計師的客觀性與獨立性 When a CPA is providing non-audit services, there are various arrangements in place to ensure the impartiality and independence of the CPA.						
30. 董事會的董事針對公司會計制度、財務狀況與財務報告、稽核報告及其追蹤情形予以了解及監督 Directors of the board take actions to understand and supervise the company's accounting system, financial position and financial reports, audit reports and their follow-up.						
※其他補充說明 (例如對董事會運作之改善建議等) Other supplementary explanations (Example: Suggestions for improving the operation of the board meeting, etc.)						
※綜合評語：_____						

註1：本表格主要為原則性方向，考量公司狀況與需要依照「董事會績效評估辦法」第八條規定之評估指標訂定董事會績效評估之衡量項目，宜依董事自我評估或同儕評估適當調整。

註2：各項指標評估結果評分無法充分表達，可於備註欄位說明。

註3：評估期間為受評年度自1月1日至12月31日止。

註4：執行評估期間，應於受評年度之次年第一季前完成。

註5：自評分數係為全部項目之總體平均分數(最高為5分)，平均分數對應之自評結果如下：

- 一、平均分數5分者，自評結果為「優良」。
- 二、平均分數4分以上未達5分者，自評結果為「良好」。
- 三、平均分數3分以上未達4分者，自評結果為「尚可」。
- 四、平均分數2分以上未達3分者，自評結果為「待加強」。
- 五、平均分數未達2分者，自評結果為「極需改善」。

Note 1: Consider the corporation's situation and the need to follow the evaluation indicators stipulated in Article 8 of the "Self-Evaluation of Performance of the Board" to determine the evaluation items for the performance evaluation of the board. It could be adjusted according to the directors' self-evaluation.

Note 2: You can add a note with the recommendations in the remarks field if the above evaluation results of various items cannot be fully expressed.

Note 3: The evaluation period is from January 1st to December 31th of the evaluation year.

Note 4: The evaluation should be completed before the first quarter of the following year of the evaluation year.

Note 5: Self-evaluation scores are determined by the overall average score of all items (with a maximum score of 5), and the corresponding Self-evaluation results are as follows:

- a. Individuals with an average score of 5 are rated as “Excellent”.
- b. Those with an average score of 4 or higher but less than 5 are rated as “Good”.
- c. Those with an average score of 3 or higher but less than 4 are rated as “Satisfactory”.
- d. Individuals with an average score of 2 or higher but less than 3 are rated as “Requires Improvement”.
- e. Those with an average score below 2 are rated as “Requires Significant Improvement”.

董事 Director: _____ (簽章及填表日期)(MM/DD/YYYY)

友霖生技醫藥股份有限公司

民國 000 年度個別董事成員(自我)績效評估自評問卷

(董事會成員對自我表現之績效評估)

Orient EuroPharma Co., Ltd. – Board of Directors’ Self-evaluation Questionnaire

評估項目 Evaluation Items	評估結果(請打✓) Level of Self-evaluation (Please “✓” the appropriate space)					備註 Note
	Excellent (5)	Very good (4)	Fair (3)	Poor (2)	Very poor (1)	
一、公司目標與任務之掌握 Understanding of company goals and missions						
1. 本董事確實了解公司的核心價值觀 (如紀律、使命、榮譽、願景等理念) Directors truly understand the company's core value (philosophies such as discipline, mission, honor and vision).						
2. 本董事對於董事會設定之公司所有策略性目標有明確的了解 Directors clearly understand all the strategic goals of the company defined by the board of directors.						
3. 本董事明確了解公司所處產業之特性及風險 Directors have a clear understanding of the characteristics and risks of the industry in which the company operates business.						
二、董事職責認知 Director's understanding of their duties and responsibilities						
4. 本董事已充分了解董事的法定義務 Directors have a full understanding of the legal obligations a director needs to perform.						
5. 本董事已了解其職責及熟悉公司運作及環境 The directors are already familiar with their responsibilities and the company's operations and environment.						

評估項目 Evaluation Items	評估結果(請打✓) Level of Self-evaluation (Please “√” the appropriate space)					備註 Note
	Excellent (5)	Very good (4)	Fair (3)	Poor (2)	Very poor (1)	
6. 本董事對於執行董事職務時所獲取的公司內部相關資訊，確實遵守保密義務 Directors are keeping in confidentiality the internal information of the company they have obtained during performance of their duties.						
三、對公司營運之參與程度 Participation in the company's operation						
7. 本董事實際出席董事會情形（不含委託出席） Directors' attendance (not including attendance by proxy) at the board meeting (e.g. a rate of 80% is 3 or medium).						(出席率達70%者為2;出席率達80%者為3;出席率達90%者為4;出席率達100%者為5)
8. 本董事於董事會前已閱讀及瞭解會議資料，以利董事會議時能夠充分履行其職責 Directors have read and understand meeting materials before a board meeting so they are able to fully perform their duties at the board meeting.						
9. 本董事在董事會上做出有效的貢獻，例如對於議案提出具體建議等 Directors make validated contributions at the board meetings, e.g. provide specific suggestions on proposals.						
10. 本董事對公司、公司經營團隊及公司所屬產業有清楚瞭解，以進行專業且適當之判斷 Directors have a clear understanding of the company, its management team and the industry in which the company operates so they are able to make professional and proper decisions.						
11. 本董事已確實評估、監督公司存在或潛在之各種風險，且對內控制度之執行與追蹤情形予以討論 Directors have diligently assessed and						

評估項目 Evaluation Items	評估結果(請打✓) Level of Self-evaluation (Please "✓" the appropriate space)					備註 Note
	Excellent (5)	Very good (4)	Fair (3)	Poor (2)	Very poor (1)	
kept track of existing or potential risks to the company, and are involved in discussion of implementation and follow-up status of the internal control system.						
四、內部關係經營與溝通 Internal relation maintenance and communications						
12. 本董事與經營團隊的互動情形良好 Directors have a positive interaction with the management team.						
13. 本董事與其他董事成員有良好的溝通 Directors have good communications with each other.						
14. 本董事與簽證會計師已進行充分溝通及交流 Directors have engaged in communications and exchanges with CPAs.						
五、董事之專業及持續進修 Directors' professionalism and continued knowledge development						
15. 本董事具備董事會決策執行所需的專業 Directors have the professionalism required in the board's implementation of decisions.						
16. 本董事有持續強化其專業知識與技能 Directors continue to strengthen their professional knowledge and skills.						
六、內部控制 Internal control						
17. 相關議案若遇有需董事利益迴避者，董事已確實予以迴避 When an issue on the agenda requires recusal of a director to avoid conflict of interest, the director has recuse him/herself.						

評估項目 Evaluation Items	評估結果(請打✓) Level of Self-evaluation (Please “✓” the appropriate space)					備註 Note
	Excellent (5)	Very good (4)	Fair (3)	Poor (2)	Very poor (1)	
18. 董事已有效的評估與監督各項內部控制制度及風險管理的有效性 Directors effectively evaluate and supervise the effectiveness of various internal control systems and risk control measures.						
19. 董事針對公司會計制度、財務狀況與財務報告、稽核報告及其追蹤情形能予以了解及監督 Do directors take actions to understand and supervise the company's accounting system, financial position and financial reports, audit reports and their follow-up.						
※其他補充說明 (例如對董事會運作之改善建議等) Other supplementary explanations (Example: Suggestions for improving the operation of the board meeting, etc.)						
※綜合評語：_____						

註1：本表格主要為原則性方向，考量公司狀況與需要依照「董事會績效評估辦法」第八條規定之評估指標訂定董事成員績效評估之衡量項目，宜依董事自我評估或同儕評估適當調整。

註2：各項指標評估結果評分無法充分表達，可於備註欄位說明。

註3：評估期間為受評年度自1月1日至12月31日止。

註4：執行評估期間，應於受評年度之次年第一季前完成。

註5：自評分數係為全部項目之總體平均分數(最高為5分)，平均分數對應之自評結果如下：

- 一、平均分數5分者，自評結果為「優良」。
- 二、平均分數4分以上未達5分者，自評結果為「良好」。
- 三、平均分數3分以上未達4分者，自評結果為「尚可」。
- 四、平均分數2分以上未達3分者，自評結果為「待加強」。
- 五、平均分數未達2分者，自評結果為「極需改善」。

Note 1: Consider the corporation's situation and the need to follow the evaluation indicators stipulated in Article 8 of the "Self-Evaluation of the Board of Directors" to determine the evaluation items for the performance evaluation of the board of directors. It could be adjusted according to the directors' self-evaluation.

Note 2: You can add a note with the recommendations in the remarks field if the above evaluation results of various items cannot be fully expressed.

Note 3: The evaluation period is from January 1st to December 31th of the evaluation year.

Note 4: The evaluation should be completed before the first quarter of the following year of the evaluation year.

Note 5: Self-evaluation scores are determined by the overall average score of all items (with a maximum score of 5), and the corresponding Self-evaluation results are as follows:

- a. Individuals with an average score of 5 are rated as "Excellent".
- b. Those with an average score of 4 or higher but less than 5 are rated as "Good".
- c. Those with an average score of 3 or higher but less than 4 are rated as "Satisfactory".

- d. Individuals with an average score of 2 or higher but less than 3 are rated as “Requires Improvement”.
- e. Those with an average score below 2 are rated as “Requires Significant Improvement”.

董事 Director: _____ (簽章及填表日期)(MM/DD/YYYY)

友霖生技醫藥股份有限公司

民國 000 年度功能性委員會績效評估自評問卷
(審計委員會成員對整體委員會表現之績效評估)

Orient EuroPharma Co., Ltd. – Audit Committee’ Self-evaluation Questionnaire

評估項目 Evaluation Items	評估結果(請打✓) Level of Self-evaluation (Please “✓” the appropriate space)					備註 Note
	Excellent (5)	Very good (4)	Fair (3)	Poor (2)	Very poor (1)	
一、對公司營運之參與程度 Participation in the company’s operation						
1. 各委員平均實際出席功能性委員會情形 (不含委託出席) The average actual attendance of each committee member at Audit Committee meetings.						(出席率達70%者為2;出席率達80%者為3;出席率達90%者為4;出席率達100%者為5)
2. 各委員於會議前有事先閱讀及瞭解會議資料 Committee members have read and understood meeting materials before a meeting.						
3. 各委員都在功能性委員會上做出有效的貢獻 All committee members have made valid contributions at the functional committee.						
4. 審計委員會有定期召開會議 The audit committee is regularly convened for meetings.						
二、功能性委員會職責認知 Understanding of duties of functional committee						
5. 功能性委員會的各項職權範圍明確且恰當 Functional committee have properly defined and assigned each and every duty.						

評估項目 Evaluation Items	評估結果(請打✓) Level of Self-evaluation (Please “√” the appropriate space)					備註 Note
	Excellent (5)	Very good (4)	Fair (3)	Poor (2)	Very poor (1)	
6. 功能性委員會能確實評估、監督公司存在或潛在之各種風險 Functional committee is capable of truthfully evaluate and supervise existing or potential risks of various nature in the company.						
7. 功能性委員會能適時且專業客觀的提出建議提交董事會討論，以供董事會決策參考 Functional committee submits suggestions based on professionalism and impartiality at the right time to the board of directors for discussion and as reference by the board when making a decision.						
8. 審計委員會與簽證會計師已進行充分溝通及交流(如遇有會計新公報實施或財報有重大調整事項時，需開會討論) The audit committee and CPAs have had full communications and exchanges (they need to meet to discuss issues relating to implementation of a new accounting standard or major changes to financial statement.)						
9. 審計委員會有定期評估聘任會計師之獨立性及適任性 The audit committee regularly evaluates the independence and adequacy of appointed CPAs.						
三、提升功能性委員會決策品質 Improvement on quality of decision-making at functional committee						
10. 公司提供予功能性委員會的資訊完整、及時，且具一定品質，使功能性委員會能夠順利履行其職責。必要時有請相關經理人員、內部稽核人員、會計師、法律顧問或其他人員列席 The company provides the functional committee with complete and timely information, with a certain quality						

評估項目 Evaluation Items	評估結果(請打✓) Level of Self-evaluation (Please “√” the appropriate space)					備註 Note
	Excellent (5)	Very good (4)	Fair (3)	Poor (2)	Very poor (1)	
level, to enable the functional committee to successfully perform their duties. Where necessary, related managers, internal auditors, CPAs, legal counsels, or other staff may be asked to attend a meeting as observer.						
11. 功能性委員會討論的時間充分 Sufficient time is allocated for discussion at a meeting of the functional committee.						
12. 公司提交到功能性委員會決議的討論議案適當 The proposals for discussion the company sends to the functional committee for resolution are appropriate.						
13. 相關議案若遇有需成員利益迴避者，該委員已確實予以迴避，並作成會議紀錄(審計委員會或薪酬委員會之成員就涉及自身有利害關係之議案時，應確實說明其利害關係之重要內容，且如有害於公司利益之虞時，討論及表決時應予迴避，且不得代理其他成員行使其表決權) When a proposal requires recusal of a committee member to avoid conflict of interest, that member has recused him/herself, which is noted in the meeting minutes. (A member of the audit committee or the remuneration committee should truthfully provide material information about his/her interest in a proposal in which he/she has an interest. If there is a likelihood the company's interest may be damaged, the member should recuse him/herself from discussion and voting, and should not act as a proxy to vote on behalf of another member.)						

評估項目 Evaluation Items	評估結果(請打✓) Level of Self-evaluation (Please “√” the appropriate space)					備註 Note
	Excellent (5)	Very good (4)	Fair (3)	Poor (2)	Very poor (1)	
14. 功能性委員會之會議紀錄適當地記錄討論內容，以及適當的記錄個人或集體的保留意見或關切 Meeting minutes of the functional committee properly reflect what has been discussed at the meeting, and the qualified opinions or concerns expressed by individual or multiple members.						
15. 各項功能性委員會會議決議，有適當的執行後續追蹤 Resolutions of the meetings of functional committee have been properly followed up on their implementation.						
四、功能性委員會組成及成員選任 Makeup of functional committee and election/appointment of members						
16. 功能性委員會的成員組成適當並已具備決策過程所需專業 Makeup of functional committee is appropriate and the functional committee has the expertise necessary for decision making.						
17. 功能性委員會成員於任職期間內確實維持其獨立性 Members of function committee have diligently maintain their independence during their tenure						
18. 審計委員會成員之選任係依公司實際需求，充分考量董事成員之各項技能、知識和經歷範疇及意願 The appointment of members to the Audit Committee is based on the actual needs of the company, taking into full consideration the various skills, knowledge, and experiences of the board members, as well as their willingness.						
五、內部控制 Internal control						

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19. 審計委員會能有效的評估與監督各項內部控制制度及風險管理的有效性 The audit committee is capable of effectively review and supervisor the effectiveness of various internal control systems and risk management.						
20. 審計委員會通過之內部控制制度有包含五大要素/原則，且涵蓋所有營運活動及交易循環之控制作業 The internal control systems approved by the audit committee includes the five major elements/principles, and covers the control operation for all operational activities and transaction cycles.						
21. 審計委員會對公司會計制度、財務狀況與財務報告、稽核報告及其追蹤情形予以了解及監督 The Audit Committee comprehensively understands and oversees the company's accounting system, financial condition, financial reports, audit reports, and their tracking status.						
※其他補充說明 (例如對審計委員會運作之改善建議等) Other supplementary explanations (Example: Suggestions for improving the operation of the audit committee meeting, etc.)						
※綜合評語：_____						

註1：本表格主要為原則性方向，考量公司狀況與需要依照「董事會績效評估辦法」第八條規定之評估指標訂定功能性委員會績效評估之衡量項目，宜依委員自我評估適當調整。

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- 四、平均分數2分以上未達3分者，自評結果為「待加強」。

五、平均分數未達 2 分者，自評結果為「極需改善」。

Note 1: Consider the corporation's situation and the need to follow the evaluation indicators stipulated in Article 8 of the "Self-Evaluation of the Function Committee" to determine the evaluation items for the performance evaluation of the board of directors. It could be adjusted according to the committee self-evaluation.

Note 2: You can add a note with the recommendations in the remarks field if the above evaluation results of various items cannot be fully expressed.

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委員 Committee: _____ (簽章及填表日期)(MM/DD/YYYY)